

**CYNGOR SIR POWYS COUNTY COUNCIL.**

**CABINET**  
**30<sup>th</sup> July 2019**

**REPORT AUTHOR: Executive Leader County Councillor Rosemarie Harris  
Portfolio Holder for Risk Management**

**SUBJECT: Strategic Risk Register Report Quarter 1 - 2019/20**

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**REPORT FOR: Decision**

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### **1. Summary**

1.1 Risk management is a core governance discipline that supports organisational delivery. The risks that Powys County Council face are dynamic, so the key of good risk management is to combine planning around what we think may happen whilst also adapting to a changing risk environment. Our risk register is key to safeguarding the organisation and building resilience into our services.

### **2. Strategic Risk Register**

2.1 The strategic risk register remains as per the previous quarter with the remaining 9 risks unchanged for this period. All owners have been asked to provide a short summary of progress since last quarter to provide assurance that mitigating actions are being actioned and monitored. See appendix A.

2.2 Full detail of the risks and the mitigation identified to control the risks is included in appendix A. Appendix B presents the results of the risk assessment process visually in the form of a heat map.

2.3 There has been a request from audit committee to consider the inclusion of the Mid Wales Growth Deal and long term financial planning in the strategic risk register. The risks of Mid Wales Growth Deal are managed through the programme.

### **3. Utilisation of JCAD**

3.1 The electronic risk management software (JCAD Core) continues to be rolled out across the organisation as part of a phased approach, along with guidance and training. Services are accountable for their service risk registers; not only identifying risks but also the implementation of mitigating actions and regular active monitoring.

3.2 As at the end of June 2019, the position of each of the 12 services and transformation programmes across the authority regarding whether the JCAD risk management software is being utilised to record and report on risks is as per Table 1 below. The timeframes are suggested by the Strategy,

Performance & Transformation Programmes team and awaiting agreement from relevant services.

Table 1. Service Areas

<b>Service</b>	<b>Head of Service</b>	<b>Is the service currently using JCAD (Yes/No)</b>	<b>If no, timeframe for developing risks on JCAD</b>
Adults Services	Michael Gray	Yes	
Childrens Services	Jan Coles	Yes	
Commissioning	Dylan Owen	Yes (recorded under Adult Services)	
Digital & Communications Service	Clint Middleton	Partly (Yes for ICT)	Communication & Customer Services 11th Oct 2019
Education	Alec Clark	Yes	
Finance	Jane Thomas	No	11th Oct 2019
Highways Transport & Recycling	Adrian Jervis	Yes	
Housing and Community Development	Nina Davies	Yes	
Legal & Democratic Services	Clive Pinney	No	11th Oct 2019
Property, Planning & Public Protection	Gwilym Davies	Yes	
Strategy, Performance & Transformation Programmes	Emma Palmer	Yes	
Workforce, & Organisation Development	Paul Bradshaw	Yes	

Table 2. Transformation Programmes

<b>Transformation Programme</b>	<b>Lead</b>	<b>Is the service currently using JCAD (Yes/No)</b>	<b>If no, timeframe for developing</b>

			<b>risks on JCAD</b>
North Powys Wellbeing Programme	Head of Commissioning (children and Adults)	No – All risks are joint risks and currently recorded on the PTHB system only, to save duplication.	
Mid Wales Growth Deal	Head of Housing and Community Development	Yes	
Brecon Place Based Strategy	Head of Strategy Performance And Transformation	No	11th Oct 2019 or when at risk register stage of the process.
Local Authority Trading Company	Head of Strategy Performance And Transformation	Yes	
21st Century Schools Transformation	Head of Strategy Performance And Transformation	No	11th Oct 2019
Digital First	Head of Digital and Communications Services	No	11th Oct 2019 or when at risk register stage of the process.
Workforce Futures	Head of Workforce and Organisation	No	11th Oct 2019 or when at risk register stage of the process.
Medium Term Financial Plan	Head of Finance	No	11 <sup>th</sup> Oct 2019 or when at risk register stage of the process.

The previous transformation programmes of Start Well and Making it Happen were added on to JCAD in Jan 2019.

All service risks are managed in the respective service area regardless of whether they are recorded on JCAD or not.

#### **4. Review of Risk Matrix**

4.1 Appendix C presents the proposal of aligning the Councils risk matrix with Powys Teaching Health Boards risk matrix. This would provide consistency across joint programmes and projects of work and would result in a more simplified scoring scale for 'Likelihood' and 'Impact' of risk.

4.2 The benefit would be as follows:-

Simplified scoring and five-scaled matrix to align with the one of our key partners, the Powys Teaching Health Board in order to ensure that when we are working on joint projects we have a joint understanding on how we are scoring and managing risk which is important to the success of these programmes.

#### **5. Options Considered / Available**

N/A

#### **6. Preferred Choice and Reasons**

N/A

#### **7. Impact Assessment**

Is an impact assessment required? No

#### **8. Corporate Improvement Plan**

The Strategic Risk Register outlines the key risks to the Council's 'business as usual' activities, as well as risk to delivery of objectives contained within the Corporate Improvement Plan.

#### **9. Local Member(s)**

This report impacts upon all Members equally and does not affect local Members individually.

#### **10. Other Front Line Services**

Does the recommendation impact on other services run by the Council or on behalf of the Council? Yes

#### **11. Communications**

Have Communications seen a copy of this report? Yes  
No proactive communication activity at this stage.

#### **12. Support Services (Legal, Finance, Corporate Property, HR, ICT, Business Services)**

12.1 Legal – The Professional Lead notes the content of the report and supports the recommendations.”

12.2 Finance – The Strategic Risk Register outlines the key risks to the Council’s activities, as well as risk to delivery of objectives contained within the Corporate Improvement Plan. The actions required to mitigate the risks are funded from existing service budgets, there are therefore no direct financial implications from this report although these may arise as new risks are identified on an on-going basis.

**13. Scrutiny**

Has this report been scrutinised? No

**14. Data Protection**

If the proposal involves the processing of personal data then the Data Protection Officer must be consulted and their comments set out below.

**15. Statutory Officers**

15.1 The Head of Finance (Section 151 Officer) – The Head of Finance (Section 151 Officer) notes the comments from Finance.

15.2 Monitoring Officer – The Deputy Monitoring Officer notes the legal comment and has nothing further to add.”

**16. Members’ Interests**

The Monitoring Officer is not aware of any specific interests that may arise in relation to this report. If Members have an interest, they should declare it at the start of the meeting and complete the relevant notification form.

Recommendation:	Reason for Recommendation:
<p><b>That;</b></p> <ul style="list-style-type: none"> <li>• <b>Cabinet notes the risk register and the current risks faced by the organisation.</b></li> <li>• <b>Risks for each Service, and any Programme and Projects are recorded on JCAD.</b></li> <li>• <b>The Council adopts the proposed risk assessment matrix and it is implemented in readiness for quarter 2 reporting.</b></li> </ul>	<p><b>To ensure appropriate understanding and management of risk and good governance of the Council.</b></p>

<b>Relevant Policy (ies):</b>	Risk Management Strategy/toolkit		
<b>Within Policy:</b>	<b>Y</b>	<b>Within Budget:</b>	<b>Y / N</b>

<b>Relevant Local Member(s):</b>	<b>N/A</b>
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<b>Person(s) To Implement Decision:</b>	<b>N/A</b>
<b>Date By When Decision To Be Implemented:</b>	<b>N/A</b>

<b>Is a review of the impact of the decision required?</b>	<b>Y / N</b>
<b>If yes, date of review</b>	
<b>Person responsible for the review</b>	
<b>Date review to be presented to Portfolio Holder/ Cabinet for information or further action</b>	

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**Background Papers used to prepare Report:  
JCAD**